

04-06-01

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Docket No.: END9 2000 0172 US1

**NEW UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages this Submission: 3

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**System and Method for Server Display Confirmation Record Response in a Connection Oriented Client/Server Protocol**

and invented by:

RICHARD G. HARTMANN, DANIEL L. KRISSELL, THOMAS E. MURPHY, JR., FRANCINE M. ORZEL,  
PAUL F. RIETH, JEFFREY S. STEVENS

**ASSIGNEE NAME:** International Business Machines Corporation

**ASSIGNEE RESIDENCE:** Armonk, New York

If a **CONTINUATION APPLICATION**, check the appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application

Enclosed are:

**Application Elements**

01. • Filing fee as calculated and transmitted as described below
02. • Specification having 27 pages and including the following:
  - a. • Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. • Background of the Invention
  - f. • Brief Summary of the Invention
  - g. • Brief Description of the Drawings (if drawings filed)
  - h. • Detailed Description
  - i. • Claim(s) as Classified Below
  - j. • Abstract of the Disclosure
03. • Drawing(s) when necessary as prescribed by 35 USC 113)
  - a. ☐ Formal
  - b. • Informal

Number of sheets: 2

04/05/01  
J1050 U.S. PTO

1c903 U.S. PTO  
09/827012

04/05/01

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**Application Elements (Continued)**

04. ☒ Oath or Declaration

- a. ☐ Newly executed (original or copy) ☐ Not executed
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney

05. ☐ Incorporation By Reference *(usable if box 4b is checked)*

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of accompanying application and is hereby incorporated by reference therein.

06. ☐ Computer Program in Microfiche *(Appendix)*

07. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*

- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

08. ☒ Assignment Papers *(cover sheet & document(s))*

09. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*

10. ☐ English Translation Document *(if applicable)*

11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS citation

12. ☐ Preliminary Amendment

13. ☒ Acknowledgment postcard

14. ☒ Certificate of Mailing

☐ First Class ☒ Express Mail (Label No: ET029404871US)

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

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**Accompanying Application Parts (Continued)**16. ☐ Additional Enclosures (identify below)**Fee Calculation and Transmittal****CLAIMS AS FILED**

For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	16	-20	0	x \$18.00	\$
Indep. Claims	7	- 3	4	x \$80.00	\$ 320
Multiple Dependent Claims	_____				\$
<b>BASIC FEE</b>					\$ 710.00
Other FEE (Specify purpose)					\$
<b>TOTAL FILING FEE</b>					\$ 1,030.00

- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit IBM Corporation Deposit Account No. 09-0457 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,030.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees as required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: 23 FEBRUARY 2001

  
Signature

Shelley M Beckstrand  
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transmitnew.wpd